

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2828/S

Applicant: Weststrom

Title: Method and Apparatus for Compensating Losses in a Tunable Laser Filter

Docket No.: 1010.8373U1

Serial No.: 10/080,492

Filed: February 22, 2002

Due Date: N/A

Examiner: Nguyen, Dung M.

Group Art Unit: 2828

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

We are transmitting herewith the following attached items (as indicated with an "X"):

- ☒ A return postcard.
- ☒ A Supplemental Information Disclosure Statement (2 pgs.), Form 1449 (1 pg.), and copies of 6 cited documents.
- ☒ A check in the amount of \$180.00 to cover the fee for consideration of Information Disclosure Statement under 97(c).

If not provided for in a separate paper filed herewith, Please consider this a **PETITION FOR EXTENSION OF TIME** for sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 50-2886.

By: J. A. McIntyre
Atty: Iain A McIntyre
Reg. No. 40337
612.436.9610

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 26th day of April, 2004.

Iain A. McIntyre
Name

J. A. McIntyre
Signature

(GENERAL)



S/N 10/080,492

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | | |
|-------------|--|-----------------|-----------------|
| Applicant: | Wesstrom | Examiner: | Nguyen, Dung M. |
| Serial No.: | 10/080492 | Group Art Unit: | 2828 |
| Filed: | February 22, 2002 | Docket: | 1010.8373U1 |
| Title: | Method and Apparatus for Compensating Losses in a Tunable Laser Filter | | |

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicant respectfully requests that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicant requests that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicant with the next official communication.

Pursuant to 37 C.F.R. § 1.97(c)(2), Applicants have included the fee of \$180.00 as set forth in 37 C.F.R. § 1.17(p). Please charge any additional fees or credit any overpayment to Deposit Account No. 50-2886.

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Serial No :10/080492

Filing Date: February 22, 2002

Title: Method and Apparatus for Compensating Losses in a Tunable Laser Filter

Page 2

Dkt: 1010.8373UI

The Examiner is invited to contact the Applicant's Representative at the below-listed telephone number if there are any questions regarding this communication.

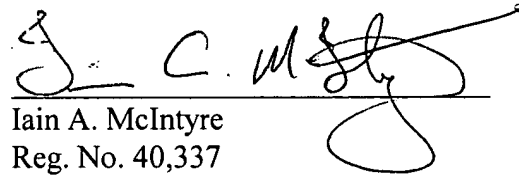
Respectfully submitted,

CCVL

Customer No. 38846

Date: April 26, 2004

By:



Iain A. McIntyre
Reg. No. 40,337
612.436.9610

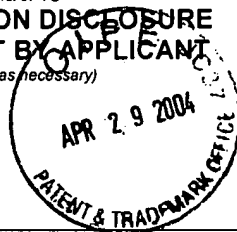
CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 26th day of April, 2004.

Iain A. McIntyre
Name



Signature

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Use as many sheets as necessary)

Complete if Known

| | |
|----------------------|-------------------|
| Application Number | 10/080,492 |
| Filing Date | February 22, 2002 |
| First Named Inventor | Wesstrom |
| Group Art Unit | 2828 |
| Examiner Name | Nguyen, Dung M. |

Sheet 1 of 1

Attorney Docket No: 1010.8373U1

US PATENT DOCUMENTS

| Examiner Initial * | USP Document Number | Publication Date | Name of Patentee or Applicant of cited Document | Class | Subclass | Filing Date If Appropriate |
|--------------------|---------------------|------------------|---|-------|----------|----------------------------|
| | 5,022,730 | 06/11/1991 | Cimini et al. | | | |
| | 5,559,912 | 09/24/1996 | Agahi et al. | | | |
| | 5,937,129 | 08/10/1999 | Jeong et al. | | | |
| | RE 36,710 | 05/23/2000 | Baets et al. | | | |
| | 6,198,863 | 03/06/2001 | Lealman et al. | | | |
| | 6,665,474 | 12/16/2003 | Wesstrom | | | |

FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Foreign Document No | Publication Date | Name of Patentee or Applicant of cited Document | Class | Subclass | T ² |
|--------------------|---------------------|------------------|---|-------|----------|----------------|
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OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
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EXAMINER**DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.¹ Applicant's unique citation designation number (optional) ² Applicant is to place a check mark here if English language Translation is attached